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REVIEW ARTICLE

Recent advances in gel technologies for topical and transdermal drug delivery

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Abstract

Transdermal drug delivery systems are a constant source of interest because of the benefits that they afford in overcoming many drawbacks associated with other modes of drug delivery (i.e. oral, intravenous). Because of the impermeable nature of the skin, designing a suitable drug delivery vehicle that penetrates the skin barrier is challenging. Gels are semisolid formulations, which have an external solvent phase, may be hydrophobic or hydrophilic in nature, and are immobilized within the spaces of a three-dimensional network structure. Gels have a broad range of applications in food, cosmetics, biotechnology, pharmatechnology, etc. Typically, gels can be distinguished according to the nature of the liquid phase, for example, organogels (oleogels) contain an organic solvent, and hydrogels contain water. Recent studies have reported other types of gels for dermal drug application, such as proniosomal gels, emulgels, bigels and aerogels. This review aims to introduce the latest trends in transdermal drug delivery via traditional hydrogels and organogels and to provide insight into the latest gel types (proniosomal gels, emulgels, bigels and aerogels) as well as recent technologies for topical and transdermal drug delivery.

Introduction

The skin is the human body's largest organ. It covers the entire body and serves as a line of defense against the external invasion of microorganisms and other environmental stressors such as heat, entry of chemicals and toxins, as well as dehydration^{[1](#page-5-0)}. Since the skin is the organ that is most exposed to the environment, the risk of damage of its integrity or the occurrence of a localized disease is very high. Transdermal drug delivery via the skin is beneficial, because it avoids the risks associated with intravenous therapy and the inconveniences associated with varying gastric pH, emptying time, and hepatic metabolism. Transdermal administration of drugs is not easy because of the impermeable nature of the skin. The stratum corneum, which varies from ten to several hundred micrometers in thickness, provides the first line of defense as a ''permeability barrier'', not allowing macromolecules to easily pass through the dermal layer^{[2](#page-5-0)}. The stratum corneum consists of layers of dead keratinocytes which are surrounded by a lipid matrix, similar to a ''brick and mortar system'', which makes it difficult for drug molecules to pass through the $\sin^{3,4}$. Although recent advancements in nanotechnology have enhanced the ability of molecules to pass through the skin by improving the pharmacokinetics of drugs; however, an appropriate vehicle

Keywords

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remains to be developed to ensure drug delivery using noninvasive techniques.

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 2014 The gels can prove to be a beneficial vehicle for topical drug delivery or for the localized drug action on skin such as in case of sprains or acute musculoskeletal disorders. A gel is defined as a semisolid formulation, which exhibits an external solvent phase, is hydrophobic or hydrophilic in nature, and is immobilized within the spaces available of a three-dimensional network structure. Gels are unique materials that are rigid and elastic in nature⁵ and have a broad range of applications in cosmetics, medicine, biomaterials and food technologies^{6–8}. Moreover, gels principally consist of a fluid solvent with the minority component being a solid matrix^{[9](#page-5-0)}. In general, a gelling agent such as a carbomer or a natural gum (i.e. xanthan gum) is dispersed in purified water to form a uniform dispersion. Compared to creams and ointments, gels, because of their high water content, permit a greater dissolution of drugs and facilitate migration of the drug through the vesicle. In addition, gels can hydrate the skin by retaining a significant amount of transepidermal water and facilitate drug $transport¹⁰$.

Typically, gels may be differentiated into two different types according to the nature of their liquid phase. For example, organogels (oleogels) contain an organic solvent and hydrogels contain water. In recent studies, additional types of gels have been reported for dermal application of a drug, such as proniosomal gels, emulgels, bigels and aerogels [\(Table 1\)](#page-1-0). This study aimed to introduce the latest trends and terminologies of gel systems (i.e. proniosome gels, emulgels, bigels and aerogels) in topical drug delivery on skin.

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Hydrogels

Gels that consist of an aqueous dispersion medium that is gelled with a suitable hydrophilic gelling agent are known as hydrogels. Hydrogels are three-dimensional hydrophilic polymer networks, which have the ability to absorb large quantities of water 11 . Hydrophilic polymers such as hydroxypropyl methylcellulose (HPMC), carbapol and sodium alginate have been previously investigated as gelling agents^{12,13}. Hydrogels can be formed via chemical or physical crosslinks, which provide a networked structure and physical stability. These physical crosslinks include entanglements, crystallites, Van der Waals interactions or hydrogen bonding. Hydrogels formed from physical crosslinks are known as "reversible" or "physical" hydrogels^{[9,14](#page-5-0)}. In contrast, hydrogels known as ''chemical'' or ''permanent'' gels are formed via covalently bonded crosslinked networks 6.8 .

Drug release from hydrogels can occur from different mechanisms: diffusion and by chemical stimulation. Diffusion is regulated by movement through the polymer matrix or by bulk erosion of the hydrogel. Chemical stimulated gels swell in response to external cues like pH and temperature or by enzymatic action¹⁵ and effectively open their pores for release of the entrapped drug. This type of mechanism can be used for targeted drug release only for diseased tissues. Drug release via diffusion is more common for localized and non specific drug release whereas drug release by chemical stimulation have seen its more application for oral drug delivery and can offer control for selective treatment $11,16$ $11,16$ $11,16$.

Recently, advances in hydrogel technologies have increased the application of hydrogels in biomedical sciences, i.e. in cell encapsulation, tissue repair and in controlled drug delivery. Many novel hydrogel-based delivery matrices have been designed and fabricated to fulfill the increasing needs of pharmaceutical and medical fields^{17,18}. Moreover, hydrogels based on acrylated poloxamine have been investigated for the purpose of drug delivery and tissue engineering^{[19](#page-5-0)}. Investigation of poloxaminehy-drogels^{[20](#page-5-0)} and polymerized oligolactides^{[21](#page-5-0)} as a delivery vehicle for hydrophobic drugs and bioactive molecules is a current trend in gel technology. Furthermore, chitosan hydrogels have been studied as potential candidate vehicles for localized drug delivery of drugs with challenges in bioavailability because of poor solubility after oral absorption¹⁶. Moreover, chitosan hydrogels have been examined for their use in the delivery of berberine alkaloid and active s-enantiomer of racemic propranolol²². Nanotechnology has played a vital role in the transdermal drug delivery of molecules using hydrogels, such as heparin, which cannot easily penetrate the skin^{[23,24](#page-5-0)}. Wound healing and anti-scar activity have been extensively studied and still is the area of focus among the researchers. Many therapeutic agents such as astragaloside IV^{25} , curcumin²⁶ and triamcinolone acetonid, have been loaded in hydrogels for the purpose of efficient wound healing²⁷. The astragaloside IV-based hydrogels exhibited angiogenetic effects on wound repair and inhibitory efficacy on scar complication. It also contributed collagen organization, by maintaining type III/type I collagen ratio, in adult tissues resulting into anti-scar activity^{[25](#page-5-0)}. A thermosensitive hydrogel of curcuminloaded micelles was prepared to enhance the cutaneous wound healing process. It was suggested that the combination of bioactivity of curcumin and thermosensitive hydrogel promoted tissue reconstruction processes and has a potential for cutaneous wound healing²⁶. Some of the advantages and drawbacks of hydrogels are described in [Table 2](#page-2-0).

Organogels

Gels containing oil or non-polar liquids as a dispersion medium are known as organogels (also called oleogels). Organogels are defined as organic liquid entrapped within a thermoreversible three-dimensional gel network. Organogels are solid-like systems based on the gelatin of organic solvents via low-molecular-weight components or oil-soluble polymers that produce a threedimensional network, which entraps a liquid solvent known as organogelators $28-30$. The formation of organogels is similar to that of hydrogels, which contain weak interactions such as Van der Waals forces or hydrogen bonding^{[31,32](#page-5-0)}. Many organic solvents such as benzene and hexane²⁸, edible oils such as sweet almond oil, cod liver oil, and olive oil (as a liquid phase) $30,33,34$, and many waxes, including candelilla wax, rice bran wax, carnauba wax, and

Table 2. Advantages and drawbacks of hydrogels.

Table 3. Advantages and drawbacks of organogels.

sugarcane $\text{wax}^{35,36}$ $\text{wax}^{35,36}$ $\text{wax}^{35,36}$ have been investigated for their use as organogelators in the development of a vehicle for the transdermal drug delivery of lipophilic compounds^{37,38}. Increased interest for organogels may be because of the ability of organogelators to form a crystalline network and entrap bulk oils despite low concentrations (<10% wt). Organogels provide a proper texture and stabilize many heterogeneous systems^{[28,](#page-5-0)39}. In addition to the ease of preparation, organogels can enhance drug penetration through the stratum corneum because of their lipophilic nature. Many typical organogel components are known as permeation enhancers such as fatty acids, surfactants, glycols, essential oils and terpenes. Many fatty acids moieties are termed as penetration enhancers, because they are thought to create separate domains, which are highly permeable pathways and helps into penetration of fatty acids into lipid bilayer of stratum corneum. Components like surfactants and phoshpolipids absorb into the stratum corneum and increase tissue hydration, consequently increasing drug permeation, especially in the case of hydrophilic active agents^{[7,](#page-5-0)[40](#page-6-0)}. In addition, the oils used in organogels are safe for the formulation of drug delivery systems of lipophilic compounds³⁸.

Recently, lecithin organogels (phospholipids obtained from egg yolks) have attracted attention for the transdermal delivery of drugs because of their ability to solubilize substances with different physiochemical properties, and their biocompatibil- $ity^{7,41-43}$ $ity^{7,41-43}$ $ity^{7,41-43}$, thermodynamic stability, thermoreversible nature, resistance to microbial contamination, and insensitivity to moisture. As the lecithin itself provides skin protection against UVinduced skin aging, it shows additive effects along with incorporated bioactive agents against skin aging. A wide variety of guest molecules such as vitamins A and C, hormones, NSAIDS, peptides, amino acids, local anesthetics and antifungal agents were reported to be effective topically as well as transdermally when delivered by Lecithin organogels $41-44$. Several advantages and drawbacks of organogels are described in Table 3.

Niosomes and proniosome gels

Niosomes are liposomes consisting of a nonionic surfactant. They may be either unilamellar or multilamellar vesicles that are capable of carrying both hydrophilic and hydrophobic drugs. The chemical stability of niosomes is greater than that of phospholipid vesicles^{45,46}. Proniosomes are liquid crystalline compact niosomal hybrids, which may be converted into niosomes upon hydration 4^{\prime} . Vesicular drug delivery systems are capable of encapsulating the drug and can enhance bioavailability, therapeutic activity and permeation properties $48-50$. These gels can be primarily formulated by either hydrogels or organogels. Organogel-based niosomal gels have been investigated as carriers of liposoluble vitamins^{[51](#page-6-0)} and as a delivery vehicle for antigens⁵²; however, hydrogel niosomes and proniosomes have been studied more extensively as potential carriers for transdermal drug delivery⁵³. The combination of hydrogels and niosomes improves the controlled release of drugs in the treatment of dermal diseases⁴⁶. Niosomes, which are prepared using a film hydration technique, consist of a lipid film that is prepared and then hydrated under mechanical stirring, and the large unilamellar vesicles are prepared using an extrusion technique^{54,55}. In addition, the ability of niosomes to function as penetration enhancers for the passing of drug molecules through the skin, and their biodegradable and nontoxic properties have attracted considerable interest in topical and transdermal drug delivery technology. Nonionic surfactant vesicles (niosomes) have also been studied because of their several advantages over liposomes with regard to their higher chemical stability, intrinsic skin penetration-enhancing properties, and lower costs of production^{56–58}. Although liposomes can encapsulate a wide variety of drugs and can deliver these drugs to target sites, liposomes have a high cost with a short shelf-life because of their phospholipid composition, which may be hydrolyzed^{[59](#page-6-0)}. Nonionic surfactants (i.e. Span and Tween 60) may be used as substitutes for phospholipids in the formation of bilayer vesicles because they are less expensive and show a higher chemical stability compared to phospholipids^{56,60,61}. These niosomes are then incorporated into hydrogels or organogels by gentle stirring to form niosomal gels.

Proniosomal hydrogels have also been characterized for their potential use as transdermal drug delivery vehicles^{62,63}. Proniosomes are also known as ''dry niosomes'' because they require hydration to form niosomal vessels before drug release and permeation through the skin⁴⁷. Unlike niosomes, proniosomes are not separately prepared; however, all of the preparation steps such as the addition of a surfactant and a gelling agent is simultaneously performed and they are dispersed in a warm water bath. Subsequently, the dispersion is cooled down at room Table 4. Advantages and drawbacks of niosomal and proniosomal gels.

Table 5. Advantages and drawbacks of emulgels.

temperature until it is converted into a proniosomal $gel⁵⁷$ Moreover, proniosomes are hydrated by agitation in hot water and provide a unique vesicle with the potential –for transdermal drug delivery^{[63](#page-6-0)}. In addition, proniosomal hydrogels are considered to be more effective than niosomal hydrogels because the former can overcome many physical stability problems (i.e. aggregation, sedimentation, degradation by hydrolysis and fusion) that are associated with niosomes^{[64](#page-6-0)}. Furthermore, proniosomal gels may also be more effective in transdermal drug delivery because they enhance the drug permeation from the skin barrier⁶⁵. Niosomes are capable of diffusing across stratum corneum as a whole; apart from this niosomes can interact with stratum corneum and adhere to the cell surface which causes a high thermodynamic activity gradient of the drug at the vesicle-stratum corneum surface, results in the driving force for the penetration of lipophilic drugs across the stratum corneum^{56,58}. Niosomes may also modify stratum corneum structure which makes the intercellular lipid barrier of stratum corneum looser and more permeable^{[48,57–59](#page-6-0),[65](#page-6-0)}. Niosomes are very beneficial vesicular system for topical and transdermal delivery because they act as a reservoir of drug for a prolonged period of time and enhance skin penetration, as studied in estradiol loaded niosomes made with the inclusion of cholesterol facilitated estradiol transdermal permeation⁵⁷. Some of the advantages and drawbacks of niosomal and proniosomal gels are described in Table 4.

Emulgels

An emulgel is a combination of an emulsion and a gel. Although gels have many advantages, the delivery of hydrophobic drugs has consistently been a point of concern. To overcome this limitation, emulgels were introduced $66,67$ and have been used for hydrophobic drug delivery. The presence of a gelling agent in the water phase converts a classical emulsion into an emulgel. Both waterin-oil (w/o) and oil-in-water (o/w) emulsions have been used as a vehicle to deliver drugs. Emulgels have several favorable dermatological properties such as thixotrophicity, greaselessness, spreadability, removability, emollient, long shelf-life and a pleasing appearance^{68–70}. In addition, emulgels have a high patient acceptability because they possess the combined advantages of both emulsions and gels. Emulgel formulations prepared from carbopol and HPMC have optical clarity, are easy to prepare, and have high diffusion and absorption rates 71 . Microemulsions reduce the diffusion barrier of the stratum corneum^{[72,73](#page-6-0)} and show acceptable physical properties, drug release and low skin irritation^{[68,74,75](#page-6-0)}. In addition, emulgels have shown their potential as an excellent vehicle for skin care products for protection against ultraviolet A and B (UVA/UVB radiation)⁷⁶. Recently, microemulsion-based gels (MBGs) have generated great interest as a potential topical drug delivery vehicle on \sin^{77-83} . These gels can be classified as emulgels since they consist of emulsions (oil and surfactant), but their particle size is reduced, thereby making them more stable than emulgels. A gelling agent is dissolved in hot w/o or o/w microemulsion and then cooled down, which causes gelatin. The advantages associated with microemulsions include their thermodynamic stability. Thus, microemulsion-based gels may be a promising vehicle for topical transdermal drug delivery. In addition, MBGs containing carbopol and xanthan gum as gelling agents, and PEG-8 capric glycerides and polyglyceryl-6 dioleate as surfactants, have been investigated for topical drug delivery on the $\sin^{72,84}$. Lecithin-containing microemulsion organogels based on either cyclohexane or isooctane have been reported to enhance permeability rates through the excised human skin by 10-fold compared to control solvents⁴⁴. In addition, microemulsion-based organogels can be prepared using a variety of pharmaceutically acceptable surfactants and oils, including Tween 80 and 20 and isopropyl myristate^{[85](#page-6-0),86}. MBGs have percolative electroconductive channels and can be used to solubilize hydrophilic drugs and vaccines as well as hydrophobic materials in the continuous oil phase $7¹$. Nanotechnology has also been introduced in emulsion-based gels, thereby reducing their particle size into nano-sizes and increasing stability and skin penetration⁸⁵. The drug passage through the stratum corneum in emulgels and microemulsion gels is based on conventional diffusion mechanism, but the surfactants and the fatty acids in oil phase, may also act as penetration enhancers and can cause the increased drug penetration and accumulation into the skin. Some of the advantages and drawbacks of emulgels are described in Table 5.

Bigels or bi (phasic) gels

Bigels are topical formulations that are obtained by combining an aqueous (hydrogels) and lipophilic (organogels) system. Bigel formulations possess characteristics of both gels such as the cooling effect, enhancement of hydration of the stratum corneum, moisturizing effect, easily spreadable, emollients and waterwashability upon application to the skin. Bigels are stable oleogel and hydrogel mixtures that are devoid of any surfactant or emulsion stabilizer^{[87](#page-7-0)}. These homogenous preparations are prepared by mixing aqueous and lipophilic systems at a high shear rate or rpm. Since there is no surfactant or emulsifier, bigels differ from creams and emulgels in terms of formulation. The use of two gel systems in bigels can produce a synergetic effect such Table 6. Advantages and drawbacks of bi (phasic) gels.

through the skin

as enhancement of hydration of stratum corneum, and drug penetration due to presence of both water phase and oil phase⁸⁸. The mechanism of action of drug penetration through skin will be of same nature as of hydrogels and oleogels. The conventional diffusion of hydrogels and lipophilic nature of oils along with fatty acids as penetration enhancers will able the drug to pass through stratum corneum and produce the topical and transdermal effect on skin. Because bigels possess the combined features and advantages of organogels and hydrogels, they may potentially be used as a topical drug delivery vehicle on skin in the pharmaceutical and cosmetic industries. Carbopol hydrogels and oleogels obtained from sweet almond oil and liquid paraffin have been studied for the purpose of forming bigels^{[88](#page-7-0)}. Unlike other multiphase systems, these bigels do not require the addition of emulsifiers or surfactant to achieve physical stability, but still it remains unclear that how the bigels will behave under longer duration and different storage conditions. Syneresis is an indicator of the formulation's lifetime, and in an ordinary gel, it is thought to result from the contraction of a solid network of tubules, resulting in the fluid being pushed out and separation of the two phases of the gel system. Syneresis is thought to more likely occur in bigels consisting of larger aggregates $\frac{89}{9}$ $\frac{89}{9}$ $\frac{89}{9}$. Although bigels are very easy to prepare, few studies have evaluated these vehicles for pharmaceutical or cosmetic purposes. This may be due to the difficulty in obtaining a stable hydrogel and oleogel mixture without the addition of an emulsifier or a surfactant, and it may demonstrate specific drawbacks as discussed in Table 6.

Aerogels and xerogels

Aerogels and xerogels are also known as inorganic gels, since both types of gels are composed of silica. Both aerogels and xerogels have been investigated for their potential use as drug delivery vehicles⁹⁰. Silica xerogels have been studied in controlled subcutaneous drug delivery⁹¹. Silica xerogels have been evaluated as drug delivery implants and demonstrate potential as a drug delivery device or disc 92 . In contrast, silica aerogels have been investigated for dermal drug delivery; however, further characterization and investigation are needed to evaluate their potential.

Both aerogels and xerogels consist of silica, and both gels are created by a sol-gel process, although they undergo different drying procedures. If a wet silica gel is dried at normal pressure, it significantly shrinks and results in a dense material with a relatively small pore size, which is known as a xerogel. In supercritical drying, shrinkage is avoided and the unusually porous structure is preserved in the resulting aerogel. Aerogels are more flexible in terms of their structure, and their pore size and surface area can be customized 93 . Furthermore, aerogels are considered more effective than xerogels because their drug solubility and bioavailability can be controlled by manipulating their release kinetics with the addition of different functional groups⁹¹. Differences between aerogels and xerogels are briefly described in Table 7.

Table 7. Differences between aerogels and xerogels.

Hydrophilic aerogels can result in an extremely fast release of drugs, which is particularly advantageous for poorly water-soluble drugs^{[94](#page-7-0)}. This effect is based on the collapse of the hydrophilic aerogel structure in aqueous solutions due to the surface tension inside the pores. Unlike aerogels, xerogels do not demonstrate a collapse in structure. Hydrophilic silica aerogels represent a new opportunity for dermal drug delivery. The drug loading procedure (adsorption from supercritical gases) allows for homogeneous distribution of the drug inside the aerogel matrix at a molecular level, so that the drugs are present inside the highly porous matrix in a non-crystalline form. It has been reported that a drug-loaded aerogel matrix can improve the drug release and penetration properties of semisolid formulations as well as stability⁹¹.

Although silica aerogels have been shown to be a potential drug delivery vehicle, they are not biodegradable, which limits their use. Several attempts have been made to produce biodegradable aerogels by using polysaccharides to overcome the drawback of nonbiodegradability in silica aerogels $92,95-97$, and to produce a drug carrier in a dry form that is susceptible to charges with high loadings of an active compound⁹⁸. Polysaccharide-based aerogels as carriers have shown great potential as a drug carrier using hybrid aerogels consisting of inorganic and organic (polysaccharide) components. The individual coating of aerogel particles with biodegradable polymers by using spout-fluidized bed technology has been reported as a technological solution to overcome the premature release of a drug from the matrix before it reaches the target site 95 . The use of these dissimilar components in a single aerogel matrix will result in novel and outstanding physicochemical properties of the aerogel. The preparation of aerogels for tissue engineering from polysaccharides (chitosan) has been recently reported³⁹. Alginate-multi-membrane aerogels were prepared for prolonged release of drug. It was concluded that the ratio of drug loading could be increased and the duration of drug release could be prolonged with the increase in number of alginate membranes. The resulting extended-release products could offer some potential advantages during patient compliance, and therapeutic outcomes¹⁰⁰. Some of the advantages and drawbacks of aerogels and xerogels are described in [Table 8.](#page-5-0) Engineering of the drug release profile via coating of aerogelbased particles for targeted drug delivery systems will provide insight into its value to a product. However, the development of drug delivery technology systems consisting of aerogel coatings

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Table 8. Advantages and drawbacks of aerogels and xerogels.

with a precise control over layer thickness whilst avoiding aerogel structure collapse remains a challenge.

Conclusion

Gels have consistently been studied for their role in topical and transdermal drug delivery and recent developments in pharmaceutical science and technology have not only improved conventional gels, e.g. hydrogels as drug delivery system, but also introduced new variations of semisolid vehicles particularly for transdermal delivery such as proniosomes and microemulsion gels (MBGs) gels. These new developments in gel technologies are effective in delivering the drug across the skin but still there are many drawbacks which are yet to be addressed. More insight in bigels and aerogels may lead to new breakthrough for feasible topical and transdermal drug delivery. Further studies in gel technologies will prove to be beneficial in overcoming the drawbacks of each gel system and for developing a cost effective delivery systems for pharmaceutical and cosmetics application.

Declaration of interest

The writers have shown no conflict of interest.

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